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NAVAL FACILITIES ENGINEERING COMMAND
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MEMORANDUM FOR ACQUISITION PERSONNEL

Subj: INSTRUCTIONS FOR PREPARATION OF ELECTRONICALLY RECORDED
DATA SUBMITTED TO THE DEFENSE CONTRACT ACTION DATA SYSTEM,
FISCAL YEAR 2000 EDITION (99-32)

Encl: (1) OUSD(A&T)/DP memo of 10 Jun 99

1. The instructions provided by enclosure (1) establish a standard procedure for the automation
and submission of procurement management reports required by DFARS 204.6 for FY 2000.

MICHAEL F. HOWARD
Director, Strategic Management/
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OFFICE OF THE UNDER SECRETARY OF DEFENSE

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ACQUISITION AND TECHNOLOGY

DP/CPA

June 10, 1999

MEMORANDUM FOR DODIG
DIRECTORS OF THE DEFENSE AGENCIES
DIRECTOR, SADBU
DEPUTY FOR ACQUISITION AND BUSINESS MANAGEMENT, ASN(RD&A)
DEPUTY ASSISTANT SECRETARY OF THE AIR FORCE (CONTRACTING)
ASSISTANT DEPUTY ASSISTANT SECRETARY OF THE ARMY (PROCUREMENT)/DIRECTOR FOR CONTRACTING

SUBJECT: Instructions for Preparation of Electronically Recorded Data Submitted to the Defense Contract Action Data System, Fiscal Year 2000 Edition

Attached are instructions dated June 1, 1999, for preparing electronically recorded data for FY 2000. The changes from the previous edition are indicated by marginal bars.

This document is being issued pursuant to DFARS 204.670-5(b) and is effective October 1, 1999.

Charles Zuckerman
Deputy Director, Contract Policy and Administration

Attachment

cc:
Mr. Brian Davison
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INSTRUCTIONS FOR PREPARATION OF
ELECTRONICALLY RECORDED DATA SUBMITTED TO THE
DEFENSE CONTRACT ACTION DATA SYSTEM (DCADS)

Part 1 - Introduction

The purpose of this instruction is to establish a standard procedure for the automation and submission of procurement management reports required by DFARS 204.6. This will provide a standard format for use by those organizations specified in DFARS 204.670-1 in submitting these required reports.

For definitions of the data elements referenced in this instruction, See DFARS 253.204-70 and 253.204-71.

Part 2 - Instructions for Automating DD Form 350

This part contains instructions for the automation of Individual Procurement Action (DD Form 350) reports required by DFARS 204.670.

Instructions for Preparing Electronically Recorded DD Form 350 Actions, DD-A&T(M)1014.

    a. <u>General</u>. An electronically recorded file, prepared as prescribed below, will be submitted to cover individual DD Form 350 transactions for each month (non-cumulative). Use UPPER CASE characters only. All reserved record positions must be blank. Electronic transmission of the data will be coordinated with WHS/DIOR.

    (i) Electronically submitted files will follow the formatting instructions included in this document and file names will conform to WHS/DIOR requirements.

    (ii) Enclosed with the file will be a summary showing dollar amounts and a record and action count of the number of records in the file. Number of actions will be derived from a count of DD Form 350's for transactions, except that each DD Form 350 with Code 1 in Item A1 (canceling entry) will be counted as minus one (-1). The net dollar amount of procurement will be derived from Item B7 and B8. The data records are described below. The length of the data record will be 400 characters.

    (iii) Control totals referenced above may be submitted as a separate file or by facsimile to (703) 604-6270.

b. <u>Data Record</u>. This record will be as follows:

DD Form 350 Block	Description	Record Positions
A1	Type of Report	1
A2	Report Number	2-7
A3	Contracting Office Code	8-13
A4	Name of Contracting Office	None
B1	<u>Contract Identification Information</u>	
B1A	Contract Number	
	Defense (See DFARS 204.70)	
	Activity Code	14-19
	Fiscal Year	20-21
	Type of Instrument	22
	Serial Number	23-26
	Blank	27-28
	Non-Defense Contract Number	14-28
B1B	Origin of Contract	29
B1C	(reserved)	30
B2	Modification, Order or Other ID Number	31-49
B3	<u>Action Date</u>	
	Year	50-53
	Month	54-55
	Day	56-57
B4	<u>Completion Date</u>	
	Year	58-61
	Month	62-63
	Day	64-653
B5	<u>Contractor Identification Information</u>	
B5A	Contractor Identification Number	66-74
	(reserved)	75-78
B5B	Government Agency	79
B5C	CAGE Code	80-84
B5D	Contractor Name and Division Name	85-114
B5E	<u>Contractor Address</u>	
	Street	115-140
	City	141-154
	State	155-156
	Zip Code	157-165
B5F	Taxpayer Identification Number (TIN)	166-174
B5G	Parent TIN	175-183
B5H	Parent Name	184-213

DD Form 350 Block	Description	Record Positions
B6	Principal Place of Performance	
B6A	City or Place Code	214-218
B6B	State or Country Code	29-220
B6C	City or Place and State or Country Name	221-250
B7	Type Obligation	251
B8	Total Dollars **(In Whole Dollars)**	252-262
B9	Foreign Military Sale	263
B10	Multiyear Contract	264
B11	Total Multiyear Value **(In Whole Dollars)**	265-275
B12	Principal Product or Service	
B12A	FSC or SVC Code	276-279
	(reserved)	2804
B12B	DoD Claimant Program Code	281-283
B12C	Program, System or Equipment Code	284-286
	Reserved for DLA use to report service code associated with B12C	287
B12D	SIC Code	288-291
	(reserved)	292-293
B12E	Name/Description	none
B13	Kind of Contracting Action	
B13A	Contract/Order	294
B13B	Type of Indefinite Delivery Contract	295
B13C	Multiple/Single Award IDC	296
B13D	Modification	297
B14	CICA Applicability	298
C1	Synopsis	299
C2	Reason Not Synopsized	300
C3	Extent Competed	301
C4	Sea Transportation	302
C5	Type of Contract	303
C6	Number of Offerors Solicited	304
C7	Number of Offers Received	305-307
C8	Solicitation Procedures	308
C9	Authority for Other Than Full & Open Competition	309-310
C10	Subject to Labor Standards Statutes	311
C11	Certified Cost or Pricing Data	312
C12	Contract Financing	313
C13	Foreign Trade Data	
C13A	Place of Manufacture	314
C13B	Country of Origin Code	315-316
C14	Commercial Items	317

DD Form 350 Block	Description	Record Positions
D1	<u>Type of Contractor</u>	
D1A	Type of Entity	318
D1B	HUBZone Representation	319
D2	Reason Not Awarded to Small Disadvantaged Business (SDB)	320
D3	Reason Not Awarded to Small Business (SB)	321
D4	<u>Preference Program</u>	
D4A	Type of SB Set-Aside	322
D4B	Type of SDB Set-Aside/SDB Preference	323
D4C	Historically Black Colleges & Universities or Minority Institutions (HBCU/MI) Set-Aside	324
D4D	Type of HUBZone Preference	325
D4E	Premium Percent	326-328
D5	Ethnic Group	329
D6	Women-Owned Business	330
D7	Small Business Innovation Research (SBIR) Program	331
D8	Subcontracting Plan SB, SDB or HBCU/MI	332
D9	Small Business Competitiveness Demonstration Program	333
D10	Size of Small Business	334
D11	Emerging Small Business	335
E1	Contingency Operation	336
E2	Cost Accounting Standards Clause	337
	(reserved)	338-342
	Report Month	343-344
	Reporting Agency Code	345-348
	Number of Actions (As required for consolidated reports)	349-354
	(reserved for WHS/DIOR use)	355
	Version Number	
	Fiscal Year (Enter **00**)	356-357
	Change Number (Enter **00** (zero, zero))	358-359
	(Reserved for Army use)	360-378
	System generating DD350	360-361

SA - SAACONS; PD - PADDS; SS - SSDC; RL - ARL; DW - DSWA; DC - DeCA; RP - DARPA; DS - DISA(ZD10); CC - DISA DECCO; MD - BMDO O)
If you are generating electronic files to submit to the Army and are not listed above, please contact the U.S. Army Contracting Support Agency at (703) 681-9781 or DSN 761-9781 to assign appropriate code.

DD Form 350 Block	Description	Record Positions
	Not-Edited Indicator (NE or blank)	362-363
	Buyer_ID (5 character code or less)	364-368
F1	Contracting Officer Signature(5 character code or less)	369-373
	blank	374-378
	(reserved for future use)	379-400

This page is reserved for DD Form 350

Instructions for editing of DD Form 350 actions.

a. Individual Record Edit.

DD Form
 350
Block   Length Title

PART A

A1   1   *Type of Report*
(1) Valid Codes: 0 - Original DD 350 record, 1 - Cancelling or 2 - Correcting.
(2) If A1=1 or 2; an original entry must have been previously submitted this fiscal year.
(3) If A1=1; all remaining fields must be completed as in the original.
(4) If A1=2; all changed fields and unchanged fields must be completed.

A2   6   *Report Number*
(1) Right justified - zero filled.
(2) Unique within FY for new records for a given contracting office.
(3) Cannot be all zeros.

A3   6   *Contracting Office Code*
(1) Left justified - blank filled.
(2) Must be a valid code provided to WHS/DIOR prior to DD350 submission.

A4   *Name of Contracting Office*
Not entered into system.

DD Form
350
Block   Length   Title

PART B

B1   Contract Identification Information

B1A   15   ***Contract Number***
         (1) Left justified - blank filled.
         (2) No embedded blanks or special characters.
         (3) Positions 1 thru 3 of B1A must not be "NIH" or "N1H".

B1B   1   ***Origin of Contract***
         (1) Valid codes: A - DoD, B - NASA or C - Other non-DoD agency.
         (2) If positions 1 thru 6 of B1A are a valid DoD PIIN; B1B must =A.
         (3) If B1B=A:
           a) B1A must be 13 characters;
           b) Positions 1 thru 6 of B1A must be a valid code identifying the DoD department/agency that
         issued the instrument;
           c) Positions 7 & 8 of B1A must be the last 2 digits of FY in which initial contract number was
         assigned;
           d) Position 9 of B1A, Type of Instrument code, must be listed in DFARS 204.7003;
           e) Position 9 of B1A must not be the letters **B, I, J, N, O, Q, R, T, U or Y**; and
           f) Position 10 of B1A cannot be the letters **I** or **O**.

B1C   1   (reserved) |

B2   19   ***Modification, Order or Other ID Number***
         (1) No embedded blanks or special characters.
         (2) May be blank.
         (3) If B1B=A; positions 1 thru 6 of B2:
           a) may be used for reporting modification numbers from the contracting or administration
         office or
           b) may be used for reporting order or call numbers and modifications to order or call numbers.
         (4) If B1B=B or C:
           a) Positions 1 thru 6 of B2 must be a valid code identifying the DoD department/agency that
         issued the instrument;
           b) Positions 7 and 8 of B2 must be numeric and equal to or less than current fiscal year;
           c) Position 9 of B2 must =A or F;
           d) Position 10 of B2 cannot be the letters **I** or **O**; and
           e) Positions 11 thru 13 of B2 may not be blank.
           f) Positions 14 thru 19 of B2 may contain modification codes or may be blank.

DD Form
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Block   Length   Title

B3   8   *Action Date* (YYYYMMDD)
(1) Must be eight numeric digits.
(2) Positions 1 thru 4 of B3 must not be greater than current calendar year.
(3) If B1B=A; positions 3 & 4 of B3 must be equal to or greater than positions 7 & 8 of B1A, except 1st quarter action dates (1 Oct thru 31 Dec) year may be 1 less than position 7 & 8 of B1A.
(4) Positions 5 & 6 of B3 must be numeric, 01 thru 12, representing the calendar month.
(5) Positions 7 & 8 of B3 must not be greater than the last day of the month.
(6) If A1=2; B3 must be equal to the month of original record.

B4   8   *Completion Date* (YYYYMMDD)
(1) Must be eight numeric digits.
(2) Position 1 & 2 of B4 must =19 or 20.
(3) If B1B=A; positions 3 & 4 of B4 must not be less than positions 7 & 8 of B1A minus 1.
(4) Positions 5 & 6 of B4 must be numeric, 01 thru 12, representing the calendar month.
(5) Positions 7 & 8 of B4 must not be greater than the last day of the month.
(6) If B1B=A; positions 1 thru 4 of B4 must not be greater than current calendar year + 20.
(7) If B1B=B or C; positions 1 thru 4 of B4 must not be greater than current calendar year + 5.

DD Form
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Block Length Title

B5   Contractor Identification Information

B5A 9   *Contractor Identification Number*
         (1) Dun & Bradstreet Corp. Data Universal Numbering System (**DUNS**) code:
            a) must be 9 numeric digits; and
            b) position 9 of B5A is a MOD 10 + 5 check digit.

MOD 10 + 5 Check Digit Calculation

0	4	9	9	7	7	4	7		
x1	x2	x1	x2	x1	x2	x1	x2		
0	+8	+9	+1+8	+7	+1+4	+4	+1+4	= 47	

50 - 47 = 3 + 5   **3** or **8** = check digit

DUNS = 04-997-747**3** Dun & Bradstreet Corp.
   or
DUNS = 04-997-747**8** Universal Electric Company

Note: B5A must be 62-662-7459 for all UNICOR/Federal Prison Industries (FPI) locations.

B5B 1   *Government Agency*
         (1) Valid codes: Y or N.
         (2) If B5B=Y; all blocks in Parts C & D must be blank.
         (3) If B5B=Y; B5A must identify a U.S. Federal, state or local government entity.
         (4) If B5B=Y; B1B must =A.

B5C 5   *Commercial and Government Entity (CAGE) Code*
         (1) May be blank.
         (2) Do not use the letters **I** or **O** in any position.
         (3) Must be a valid code assigned by Defense Logistics Service Center, Battlecreek, MI.

B5D 30   *Contractor Name*
         (1) Must not be blank.

B5E 51   *Contractor Address*
         (1) Enter complete address.
         (2) Positions 1 thru 26 of B5E must contain street address and cannot be blank.
         (3) Positions 27 thru 40 of B5E must contain city name and cannot be blank.
         (4) Positions 41 & 42 of B5E must contain state/country abbreviation and cannot be blank.
         (5) Positions 43 thru 47 of B5E may contain postal Zip code or may be blank.
         (6) Positions 48 thru 51 of B5E may contain postal Zip code extension or may be blank.

DD Form
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Block Length Title

B5F 9   *TIN*
(1) Must be 9-digit numeric or blank.
(2) Must not be all the same digit. ex. 000000000 or 999999999
(3) If B5A=153906193 (*European Utility Companies*), 790238638 (*Classified Domestic Contractors*) or 790238851 (*Classified Foreign Contractors*); B5F must be blank.
(4) B5F cannot equal B5A.
(5) If B5A is in the Central Contractor Registration (CCR); B5F may be blank.

B5G 9   *Parent TIN*
(1) Must be 9-digit numeric or blank.
(2) Must not be all the same digit. ex. 000000000 or 999999999
(3) If B5G is completed; B5F must not be blank.
(4) B5G cannot equal B5A.

B5H 30   *Parent Name*
(1) If B5G is completed; B5H must not be blank.
(2) If B5H is completed; B5F must not be blank.
(3) If B5H is completed; B5G must not be blank.

B6   Principal Place of Performance

B6A 5   *City or Place Code*
(1) Valid codes: FIPS Pub 55.
(2) Must be blank for foreign countries. (This includes Antarctica "AY" and Cuba "CU").
(3) Do not use FIPS Pub 55 location codes where the first position of the class code =X or Z.
(4) If city or locality is not listed or where the first position of the class code =X or Z; find the appropriate county and enter 5-digit numeric county code.

B6B 2   *State/U.S. Outlying Area or Country Code*
(1) Valid Codes: See attachments A thru D.
(2) For U.S. outlying areas see attachment B.
(3) If B6B=11 (Washington, DC); B6A must =50000.
(4) If B5A=790238638; B6B must = 98.
(5) If B6B=98; B5A must = 790238638.
(6) If B5A=790238851, B6B must = ZZ.
(7) If B6B=ZZ; B5A must = 790238851.
(8) If B6B=98 or ZZ; B6A must be blank.
(9) If B5B=Y; B6B must be a code listed in Attachment A or B of this document.
(10) If B6B is a code listed in Attachment C or D of this document; B5B must =N.

DD Form
350
Block   Length Title

B6C   30   *City or Place & State or Country Name*
(1) Must <u>not</u> be blank.
(2) Enter city and state for U.S. and U.S. outlying areas.
(3) Enter only country name for foreign, do not enter city name.
(4) Do not use abbreviations for country names.

B7   1   *Type Obligation*
(1) Valid codes: 1 - obligation or 2 - deobligation.

B8   11   *Total Dollars* **(In Whole Dollars)**
(1) Must be an absolute value (**net amount**, see DFARS 253.204-70(b)(8)) numeric field greater than 0 (zero).
Note: $2,500.01 - $2,500.49 is rounded to 2500.
(2) If B8 is equal to or greater than $100,000,000; the amount in B8 is cross-matched for accuracy to a corresponding news release. (WHS/DIOR internal use)

B9   1   *Foreign Military Sale*
(1) Valid Codes: Y or N.

B10   1   *Multiyear Contract*
(1) Valid codes: Y or N.
Note. The following edits do not apply to DLA Defense Energy Support Center.
(2) If B2 is not blank; B10 must =N.
(3) If B10=Y; B1A is cross-matched for accuracy to a corresponding news release.
(WHS/DIOR internal use)

B11   11   *Total Multiyear Value* **(In Whole Dollars)**
(1) Must be an absolute value (**net amount**, see DFARS 253.204-70(b)(11)) numeric field.
(2) If B10=N; B11 must be zero.
(3) If B2 is not blank; B11 must be zero.
Note. The following edit does not apply to DLA Defense Energy Support Center.
(4) If B10=Y and B2 is blank; B11 must be greater than B8.

DD Form
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Block   Length   Title

B12   Principal Product or Service

B12A   4   *FSC or SVC Code*
                 (1) Valid codes: Section I, DoD Procurement Coding Manual (MN02).
                 (2) If position 1 of B12A=A; position 4 of B12A must =1 thru 7.

B12B   3   *DoD Claimant Program Code*
                 (1) Valid codes: A1A, A1B, A1C, A20, A30, A4A, A4B, A50, A60, A70, A8A, A8B, A8C,
                 A90, B10, B20, B30, B90, C20, C9A, C9B, C9C, C9D, C9E or S10.
                 (2) U.S. Army Corps of Engineers reporting offices (A3) cannot use code B20.
                 (3) If B12B=B20; positions 1&2 of B12A must be "89".

B12C   3   *Program, System or Equipment Code*
                 (1) Valid codes: Section II, DoD Procurement Coding Manual (MN02) or all zeros.
                 (2) For **DLA only:** record position 279 must =1, 2, 3 or 4.
                 (3) To report another service's code use record position 279 as follows: 1=Army, 2=Navy or
                 3=Air Force.

B12D   4   *Standard Industrial Classification (SIC) Code*
                 (1) Must be numeric and a valid code contained in the OMB SIC Manual.

B12E   *Name/Description*
                 Not entered into system.

DD Form
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Block   Length   Title

B13   Kind of Contract Action

B13A   1   *Contract/Order*
          (1) Valid codes: 1, 3, 4, 5, 6, 8 or 9.
          (2) If B1B=A; B13A must =1, 3, 4, 5, 8 or 9.
          (3) If B13A=1, 3, 8 or 9; B1B must =A.
          (4) If B1A begins with "GS" or "V7"; B13A must =5 or 6.
          (5) If B13A=6; B1B must =C and B1A must begin with "GS" or "V797P".
          (6) If B13A=5 and positions 1 & 2 of B1A="GS";
          B1B must =C;
          positions 3 & 4 of B1A must be numeric;
          position 5 of B1A must =A, B, C, D, F, G, H, J, K, L, N, P or S;
          or
          position 5 of B1A may be "T" if positions 1 & 2 of B12A="S1" (utilities).
          (7) If B13A=5 or 6 and positions 1 & 2 of B1A="GS"; B1B must =C.
          (8) If B13A=6 and positions 1 thru 5 of B1A="V797P";
          positions 6 thru 9 of B1A must be numeric and position 10 of B1A must be alpha or blank and
          positions 11 thru 15 of B1A must be blank.
          (9) If B13A=6 and positions 1 & 2 of B1A="GS":
          B1A must be a valid Federal Schedule number;
          the letter "O" must not be used in B1A;
          positions 3 & 4 of B1A must be numeric;
          position 5 of B1A must =F or K; *and*
          ((If position 5 of B1A=F; B1A must be 10 characters) *or*
          (If position 5 of B1A=K; B1A must be 14 characters and position 10 of B1A must =S.))
          Note: Monthly updates of the Federal Schedule file may be obtained from WHS/DIOR.
          (10) If B1B=A and position 9 of B1A=A, M, W, P, or V; B13A must =9.
          (11) If B13A=9;
            a) B3 must be greater than 19950702 and
            b) position 9 of B1A must =A, M, P, W or V.
          (12) If B1B=A and position 9 of B1A=C; B13A must =1 or 3.
          (13) If B1B=A and position 9 of B1A=D; B13A must =1,3 or 5.
          (14) If B1B=A and position 9 of B1A=E; B13A must =3.
          (15) If B1B=A and position 9 of B1A=F; B13A must =3 or 8.
          (16) If B1B=A and position 9 of B1A=L; B13A must =3.
          (17) If B1B=A and position 9 of B1A=G or H; B13A must =4.
          (18) If B1B=B or C; B13A must =4, 5 or 6.
          (19) If B5B=Y and B13A=8; position 9 of B1A must =F.
          (20) If position 1 of B12A=A; B13A cannot be 6.
          (21) If B13A=6; position 1 of B12A cannot be A.

DD Form
350
<u>Block</u> <u>Length</u> <u>Title</u>

B13A 1 *Contract/Order* (continued)
(22) If B11 greater than zero; B13A must =1 or 3;.
(23) If B13A not =1 or 3; B11 must be zero.
(24) If B13A=6; B5B must =N.
(25) If B13A=6; B12A cannot be S111, S112, S114 or S119.
(26) If B13A=9; B10 must =N.

B13B 1 *Type of Indefinite Delivery Contract (IDC)*
(1) Valid codes: A - Requirements Contract, B - Indefinite Quantity Contract, C - Definite Quantity Contract or blank.
(2) If B1B=A and position 9 of B1A=D and B13A=3; B13B must =A, B or C.
(3) If B13A=5; B13B must =A, B or C.
(4) If B13A is not 3 or 5; B13B must be blank.

B13C 1 *Multiple/Single Award IDC*
(1) Valid codes: M - Multiple Award, S - Single Award or blank.
(2) If B13B=A, B or C; B13C must =M or S.
(3) If B13B is blank; B13C must be blank.

B13D 1 *Modification*
(1) Valid codes: A, B, C, D, E, F, G, H, J or blank.
(2) If B13D=A, B, C, D, E, F, G, H or J; B2 must not be blank.
(3) If B10=Y and B13A=1 or 3 and B13D is blank; B11 must be greater than or equal to B8.
(4) If B13A=1; B13D cannot be J
(5) If B13D=J; B13A must =3 and positions 1 & 2 of B2 must ="PZ".
(6) If B13A=1, 3, 8 or 9 and B2 is blank; B13D must be blank.
(7) If B13A=1, 3, 8 or 9 and B13D is blank; B7 must =1.
(8) If B13A=1 and B2 is blank and B13D is blank; positions 7 & 8 of B1A must be greater than or equal to the current fiscal year - 1.
(9) If B13A=3 and B2 is blank and B13D is blank; positions 7 & 8 of B1A must be greater than or equal to the current fiscal year – 2.
(10) If B13A=1 or 3 and B2 is blank and B13D is blank; action will be verified to preclude reporting of multiple definitive actions for the same contract. (WHS/DIOR internal use - refer to ODDP/CPA)
(11) If B13D=E; B7 must =2.

DD Form
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Block   Length   Title

B14   1   *CICA Applicability*
        (1) Valid codes: A, B, C or D.
        (2) If B13A=6; B14 must =B.
        (3) If B13A=9; B14 must =C or D.
        (4) If B14=C; B13A must =9.
        (5) If B12B is not "B20" and B13A=9 and B14=C; B8 must be less than or equal to 100,000.
        (6) If B13A=9 and B13D is blank and B14=D; B8 must be less than or equal to 5,000,000.
        (7) If B1B=A and B5B=N and B13A not = 9; B14 must = A or B.
        (8) If B1B=A and positions 7 & 8 of B1A greater than "95"; B14 must = B, C or D.

PART C   All fields must be blank if B5B=Y.

C1   1   *Synopsis*
        (1) Valid codes: A - Synopsis Only, B - Combined Synopsis/Solicitation, N - No, or blank.
        (2) If B5B=Y or B13A=6; C1 must be blank.
        (3) If B5B=N and B13A is not 6; C1 must =A, B or N.

C2   1   *Reason Not Synopsized*
        (1) Valid codes: A -Urgency, B - Use of FACNET, Z - Other Reason or blank.
        (2) If B5B=Y or B13A=6; C2 must be blank.
        (3) If C1=A or B; C2 must be blank.
        (4) If B5B=N and B13A is not 6 and C1=N; C2 must =A, B or Z.

C3   1   *Extent Competed*
        (1) Valid codes: A, B, C, D or blank.
        (2) If B5B=Y; C3 must be blank.
        (3) If B5B=N; C3 must =A, B, C or D.
        (4) If B13A=6; C3 must =A.
        (5) If B5B=N and B12A=S111, S112, S114 or S119; C3 must =A or B.

C4   1   *Sea Transportation*
        (1) Valid codes: Y, N, U or blank.
        (2) If B1B=B or C, or B5B=Y or B13A=6 or 9; C4 must be blank.
        (3) If B1B=A and B5B=N and B13A is not 6 or 9; C4 must =Y, N or U.

C5   1   *Type of Contract*
        (1) Valid codes: A, J, K, L, M, R, S, T, U, V, Y, Z or blank.
        (2) If B5B=Y or B13A=6; C5 must be blank.
        (3) If B5B=N and B13A is not 6; C5 must =A, J, K, L, M, R, S, T, U, V, Y or Z.

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NOTE: Blocks C6, C7, C8 and C9 will be blank for pre-CICA contracts. Blocks C8 and C9 will be blank for contracts awarded using simplified acquisition procedures (SAP).

C6   1   ***Number of Offerors Solicited***
(1) Valid Codes: 1, 2 or blank.
(2) If B1B=B or C, or B5B=Y, or B13A=6 or B14=A; C6 must be blank.
(3) If B1B=A and B5B=N and B13A is not 6 and B14=B, C or D; C6 must =1 or 2.
(4) If B1B=A and B5B=N and B13A is not 6 and B14=B, C or D and C3=A; C6 must =2.
(5) If B1B=A and B5B=N and B13A is not 6 and B14=B, C or D and C3=C or D; C6 must =1.

C7   3   ***Number of Offers Received***
(1) Must be three numeric digits or blank.
(2) If B5B=Y or B13A=6 or B14=A; C7 must be blank.
(3) If B5B=N and B13A is not 6 and B14=B, C or D; C7 must be 001 thru 999.
(4) If B13C=M and C6=1; C7 must =1.
(5) If B13A=5 and B13C=M and C6=2; C7 must be less than or equal to 10.

C8   1   ***Solicitation Procedures***
(1) Valid Codes: A ,B, C, D, E, F, G, K, N or blank.
(2) If B5B=Y or B13A=6 or 9 or B14=A; C8 must be blank.
(3) If C6, C7 and C8 are not blank; B14 must =B.
(4) If C6 and C7 are not blank and C8 is blank; B14 must =C or D.
(5) If B5B=N and B13A is not 6 and C6, C7 and C8 are blank; B14 must =A.
(6) If B5B=N and B13A is not 6 or 9 and B14=B; C8 must =A, B, C, D, E, F, G, K or N.
(7) If B1B=A and C8=A, F or K; C6 must =2.
(8) If C7=1 and C8=N; C3 must not be A.
(9) If C8=A, B, C, D, E, F, G or K; C3 must =A.
(10) If C8=D; B12A must =C1--, C2--, T002, T004, T008, T009, T014, R404 or (9999 if B5A=790238638 or 790238851.)
(11) If C8=E; position 1 of B12A must =A *or* B12A may be 9999 if B5A=790238638 or 790238851.

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C9   2   ***Authority for Other Than Full & Open Competition***

(1) Valid Codes: 1A, 1B, 1C, 1D, 1E, 1F, 1G, 2A, 3A, 4A, 5A, 5B, 6A, 7A or blank.

(2) If B5B=Y or B13A=6 or 9 or B14=A; C9 must be blank.

(3) If C8=A thru K; C9 must be blank.

(4) If B9=N and C9=4A; this action will be flagged for verification. (WHS/DIOR internal use - refer to ODDP/CPA)

(5) If C2=A and B13A is not 6 or 9 and B14=B; C8 must =N and C9 must =2A.

(6) If C7=1 and C8=N and C9=1A, 1B, 1C, 1D, 1F, 1G, 2A, 3A, 6A or 7A; C3 must =C or D

(7) If C7 greater than 1 and C8=N and C9 is not 1E, 4A, 5A or 5B; C3 must =A.

(8) If C8=N; C9 must =1A, 1B, 1C, 1D, 1E, 1F, 1G, 2A, 3A, 4A, 5A, 5B, 6A or 7A.

(9) If C9=1C; position 1 of B12A must =A *or* B12A may be 9999 if B5A=790238638 or 790238851.

(10) If C9=1E; positions 1 & 2 of B12A must ="S1".

(11) If C9=1E, 4A or 5B; C3 must =B.

(12) If C9=5B; position 1 of B12A must be numeric.

(13) If C9=7A; this action will be flagged for verification. (WHS/DIOR internal use - refer to ODDP/CPA)

C10   1   ***Subject to Labor Standards Statutes***

(1) Valid codes: A, C, D, Z or blank.

(2) If B5B=Y or B13A=6; C10 must be blank.

(3) If B5B=N and B13A is not 6; C10 must =A, C, D or Z.

(4) If C10=C; position 1 of B12A must be alpha *or* B12A may be 9999 if B5A=790238638 or 790238851.

(5) If C10=D; position 1 of B12A must =Y or Z, or B12A must =F015, P400, P500 or (9999 if B5A=790238638 or 790238851) and B12B must =C20.

C11   1   ***Cost or Pricing Data***

(1) Valid codes: Y, N, W or blank.

(2) If B1B=B or C, or B5B=Y, or B13A=6; C11 must be blank.

(3) If B1B=A and B5B=N and B13A is not 6; C11 must =Y, N or W.

C12   1   ***Contract Financing***

(1) Valid codes: A, B, C, D, E, F, Z or blank.

(2) If B1B=B or C, or B5B=Y, or B13A=6; C12 must be blank.

(3) If B1B=A and B5B=N and B13A is not 6; C12 must =A, B, C, D, E, F or Z.

(4) If B1B=A and C5=R, S, T, U, V, Y or Z; C12 must =D or Z.

(5) If C12=D; this action will be flagged for verification. (WHS/DIOR internal use - refer to ODDP/CPA)

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C13   Foreign Trade Data

C13A   1   *Place of Manufacture*
                  (1) Valid codes: A-U.S., B-Foreign or blank.
                  (2) If B1B=B or C or B5B=Y; C13A must be blank.
                  (3) If C13A=A; B6B must be numeric, AY or CU. (see attachments A & B).
                  (4) If C13A=B; B6B must be a valid foreign country code. (see attachments C, D & E).

C13B   2   *Country of Origin Code*
                  (1) Valid Country Codes FIPS Pub. 10 or blank. Do not use U.S. State codes (01 thru 56) or
                  U.S. outlying areas (60, 64, 66, 68, 69, 72, 74, 78, AY, CU) or "US".
                  Note - Trust Territory of Palau (70) is excluded.
                  (2) If B1B=B or C or B5B=Y; C13B must be blank.
                  (3) If C13A=A or B; C13B must not be blank.
                  (4) If C13A is blank; C13B must be blank. (Except DLA when B5B=Y or B13A=6.)
                  (5) See attachments C and D for valid codes.

C14   1   *Commercial Items*
                  (1) Valid codes: Y, N or blank.
                  (2) If B1B=B or C, or B5B=Y, or B13A=6; C14 must be blank
                  (3) If B1B=A and B5B=N and B13A is not 6; C14 must =Y or N.
                  (4) If B14=A: C14 must =N.
                  (5) If B14=D; C14 must =Y and absolute value of B8 must be less than or equal to 5,000,000.
                  (6) If B13A=9 and C14=N and B12B is not "B20"; absolute value of B8 must be less than or
                      equal to 100,000.
                  (7) If C14=Y; C5 must =J or K.
                  (8) If C14=N; B14 must =A,B or C.

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PART D   All fields must be blank if B5B=Y or B13A=6.

D1   Type of Contractor |

D1A   1   *Type of Entity* |
(1) Valid codes: A, B, C, D, F, L, M, U, V, Z or blank.
(2) If B5B=Y or B13A=6; D1A must be blank. |
(3) If B5B=N and B13A is not 6; D1A must =A, B, C, D, F, L, M, U, V or Z.
(4) If D1A=A, B, C, D, F, U, V or Z; B6B must represent a location <u>inside</u> the U.S. (01 thru 56) |
or U.S. outlying areas (60, 64, 66, 68, 69, 70, 72, 74, 78, AY, CU)
(5) If D1A=L; positions 43 thru 51 of B5E must be blank. |
(6) If D1A is not L or M; positions 43 thru 47 of B5E must be numeric.
(7) If D1A is not L or M; positions 48 thru 51 of B5E must be numeric or blank. |
(8) If B1B=A and D1A=L or M; C10 must =Z.
(9) If D1A=M; B6B must be outside the U.S., etc. (see B6B). |
(10) If D1A=U or V; position 1 of B12A must be alpha *or* B12A may be 9999 if |
B5A=790238638 or 790238851.
(11) If B5A is not 790238638 or 790238851 and D1A=A, B, C, F, M, U or V; B5F must be 9- |
digit numeric.
(12) If B1B=A and position 9 of B1A=F and B5B=N and B13A=8; D1A must =D. |
(13) If B1B=A and B5B=N and D1A is not D; position 9 of B1A must not =F.
(14) If B1B=A and B5B=N and D1A=L; C13A must =A or B and C13B must not be blank. |

D1B   1   *HUBZone Representation* |
(1) Valid codes: Y, N or blank. |
(2) If B5B=Y or B13A=6; D1B must be blank.
(3) If D1A=A or B; D1B must be Y or N. |
(4) If D1A is not A or B; D1B must be blank. |

D2   1   *Reason Not Awarded to Small Disadvantaged Business (SDB)*
(1) Valid Codes: A, B ,C, D, Z or blank.
(2) If B1B=A and D1A=A, D, F, L, M, U, V or Z; D2 must be blank. |
(3) If B5B=Y or B13A=6; D2 must be blank.
(4) If D1A=B or C; D2 must =A, B, C, D or Z. |
(5) If B1B=B or C and B13A=5; D2 must =Z.
(6) If D2=D; C3 must =A.
(7) If B14=B, C or D and D2=D; C7 must be greater than 1.

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D3   1   ***Reason Not Awarded to Small Business (SB)***
        (1) Valid codes: A, B, C, D, Z or blank.
        (2) If B1B=A and D1A=A, B, D, F, L, M, U, V or Z; D3 must be blank.
        (3) If B5B=Y or B13A=6; D3 must be blank.
        (4) If D1A=C; D3 must =A, B, C, D or Z.
        (5) If B1B=B or C and B13A=5; D3 must =Z.
        (6) If D3=D; C3 must =A.
        (7) If B14=B, C or D and D3=D; C7 must be greater than 1.

D4   Preference Program

D4A   1   ***Type of Small Business Set-Aside***
        (1) Valid Codes: A, B, C, E, F, Y or blank.
        (2) If B5B=Y or B13A=6; D4A must be blank.
        (3) If B5B=N and B13A is not 6; D4A must =A, B, C, E, F or Y.
        (4) If B13A=9; D4A must =A, B, E, F or Y.
        (5) If B13A is not 9 and D4A=E:
          C6 must =2;
          C8 must =D, E, G, K *or*
          C8 must =N and C9 must =2A, 3A, 6A or 7A; ***and***
          D1A must =A.
        (6) If B13A=9 and D4A=E;
          C6 must =2; and
          D1A must =A.
        (7) If B1B=A and B14=B and D4A =B or C :
          C6 must =2;
          C8 must =D, E, F, G, K *or*
          C8 must =N and C9 must =2A, 3A, 6A or 7A; ***and***
          D1A must =A, B or D.
        (8) If B1B=B or C and B14=B and D4A =B or C ;
          C6 must be blank;
          C8 must =D, E, F, G, K *or*
          C8 must =N and C9 must =2A, 3A, 6A or 7A; ***and***
          D1A must =A, B or D.
        (9) If B14=A and D4A =B or C:
          C3 must =A; and
          D1A must =A, B or D.
        (10) If B13A=9 and D4A=B:
          C3 must =A;
          C6 must =2; and
          D1A must =A, B or D.

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D4A   1   *Type of Small Business Set-Aside* (continued)
                  (11) If D1A=C, F, L, U, V or Z; D4A must =A.
                  (12) If D4A=F;
                    D1A must =A or B *and*
                    B8 must be less than or equal to 50000.
                  (13) If B13A is not 9 and D4A=Y:
                    C8 must =D, E, G, K or
                    C8 must =N and C9 must =2A, 3A, 6A or 7A; and
                    D1A must =A or B.
                  (14) If B13A=9 and D4A=Y:
                    D1A must =A or B.
                  (15) If D4A=Y;
                    B8 must be less than or equal to 25000 *and*
                    B12A must be any code starting with Y or Z except Y216 or Z216; or C111, C112, C113,
                    C114, C115, C116, C117, C118, C119, C121, C122, C123, C124, C129, C130, C211, C212,
                    C213, C214, C215, C216, C219, J998, J999, R404, S205, T002, T004, T008, T009, T014,
                    F015, P400 or P5000 *and*
                    B12D must be one of the following:
                    a) 15xx, 16xx or 17xx;
                    b) 4212 or 4953;
                    c) 7389, 8711, 8712 or 8713; or
                    d) 3731.

D4B   1   *Type of Small Disadvantaged Business Set-Aside/Preference*
                  (1) Valid Codes: A, B, C, D, E or blank.
                  (2) If B5B=Y or B13A=6; D4B must be blank.
                  (3) If B5B=N and B13A is not 6; must =A, B, C, D or E.
                  (4) If B5B=N and B12A=S111, S112, S114 or S119 ;
                    D4B must =A, C, D or E.
                  (5) If D1A=C, F, L, U, V or Z; D4B must =A.
                  (6) If D4A=E; D4B must =C.
                  (7) If D4B=B; C3 must =A or B.
                  (8) If D4B=B, C, D or E; D1A must =A.
                  (9) If B1B=A and D4B=B, C, D or E; D2 and D3 must be blank.
                  (10) If D4B=B or D; D4A must =A.
                  (11) If D4B=C, D or E; C6 must =2.
                  (12) If B14=B and D4B=C or E;
                    C8 must =D, E, G, K *or*
                    C8 must =N and C9 must =2A, 3A, 6A or 7A.

D4B   1   ***Type of Small Disadvantaged Business Set-Aside/Preference*** (continued)
 (13) If B14=B and D4B=B:
  B12A cannot start with S1;
  C3 must =A or B;
  C8 must =K *or*
  C8 must =N and C9 must =5A.
 (14) If B13A=9 and D4B=B;
  B12A cannot start with S1 and
  C3 must =A or B.
 (15) If B14=A and D4B=B:
  B12A cannot start with S1;
  C3 must =A or B.
 (16) If D4B=C; D4A must =E.
 (17) If D4B=E; D4A must =C.
 (18) If D4B=C or E:
  a) if C7=1 and C8=N; C3 must =D
  b) otherwise C3 must =A.
 (19) If D4B=D: C8 must =A,B,C,D,E,F or G and C3 must =A. |

D4C   1   ***Historically Black Colleges & Universities or Minority Institutions (HBCU/MI)***
   Set-Aside
 (1) Valid Codes: A, B, C or blank.
 (2) If B5B=Y or B13A=6; D4C must be blank.
 (3) If B5B=N and B13A is not 6; D4C must =A, B or C.
 (4) If D1A=A, B, C, D, F, L, V or Z; D4C must =A. |
 (5) If D4B=B, C, D or E; D4C must =A. |
 (6) If B14=B and D4C=B or C: |
  C8 must =E, K *or*
  C8 must =N and C9 must =2A, 3A, 5A, 6A or 7A;
  D1A must =U; |
  D4A must =A and
  D4B must =A.
 (7) If B13A=9 and D4C=B or C: |
  D1A must =U;
  D4A must =A and
  D4B must =A.

D4D 1 ***Type of HUBZone Preference***
(1) Valid Codes: A, B, C, D or blank.
(2) If B5B=Y or B13A=6; D4D must be blank.
(3) If B5B=N and B13A is not 6; D4D must =A, B, C or D.
(4) If C8=K;
   D4A must =B, C, E or F *or*
   D4B must =B, C or E *or*
   D4C must =B or C. *or*
   D4D must =B.
(5) If D4D=B, C or D; D1B must = Y.
(6) If D4D=B; C8 must=K.

D4E 3 ***Premium Percent***
(1) Must be 3 numeric digits or blank.
(2) If B5B=Y or B13A=6; D4E must be blank.
(3) If D1A=B, C, D, F, L, V or Z; D4E must be blank.
(4) If D4B=A or B and D4C=A; D4E must be blank.
(5) If D4B=D; D4E must be greater than zero.
(6) If D4B=C or E; D4E must be equal to or greater than zero.
(7) If D4C=B or C; D4E must be equal to or greater than zero.
(8) If D4D=C; D4E must be greater than zero.
(9) If D4B=D and D4D=C; D4E must not be greater than 200 (20%).
(10) If D4B=D and D4D=A, B or D; D4E must not be greater than 100 (10%).
(11) If D4B=A, B, C or E and D4D=C; D4E must not be greater than 100 (10%).

D5 1 ***Ethnic Group***
(1) Valid Codes: A, B, C, D, E, F, Z or blank.
(2) If B1B=B or C or B5B=Y or B13A=6; D5 must be blank.
(3) If B1B=A and B5B=N and D1A=A; D5 must =A, B, C, D, E, F or Z.
(4) If D1A=B, C, D, F, L, M, U, V or Z; D5 must be blank.
(5) If B1B=A and D4B=B; D5 must =A, B, C, D, E, F or Z.
(6) If D4B=C, D or E; D5 must =A, B, C, D, E or F.

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D6   1   ***Women-Owned Business***
(1) Valid codes: Y, N, U or blank.
(2) If B5B=Y or B13A=6; D6 must be blank.
(3) If B5B=N and B13A is not 6; D6 must =Y, N or U.
(4) If D1A=D, F, L, U, V or Z; D6 must =N or U.
(5) If D4C=B or C; D6 must =N or U.
(6) If D6=Y; D1A must =A, B, C or M.

D7   1   ***Small Business Innovation Research (SBIR) Program***
(1) Valid codes: A, B, C, D or blank.
(2) If B1B=B or C; D7 must be blank.
(3) If B5B=Y or B13A=6; D7 must be blank.
(4) If B1B=A and B5B=N; D7 must =A, B, C or D.
(5) If B1B=A and D1A=C, D, F, L, M, U, V or Z; D7 must =A.
(6) If B14=B and D7=B, C or D:
   C3 must =A;
   C8 must =K;
   D1A must =A or B; and
   1st pos. of B12A must =A, and 4th position of B12A cannot be 7
   or B12A may be 9999 if B5A=790238638 or 790238851.
(7) If B13A=9 and D7=B, C or D:
   C3 must =A;
   D1A must =A or B; and
   1st pos. of B12A must =A, and 4th position of B12A cannot be 7
   or B12A may be 9999 if B5A=790238638 or 790238851.
(8) If D4B=B, C, D or E; D7 must =A.
(9) If D4C=B or C; D7 must =A.

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D8   1   ***Subcontracting Plan - SB, SDB, or HBCU/MI***
(1) Valid codes: A, B, C, D or blank.
(2) If B5B=Y or B13A=6; D8 must be blank.
(3) If B5B=N and B13A is not 6; D8 must =A, B, C or D.
(4) If D1A=C, D, F, U, V or Z; D8 must =A, B, C or D.
(5) If D1A=A, B, L or M; D8 must =B.
(6) If D4B=B, C, D or E; D8 must =B.

D9   1   ***Small Business Competitiveness Demonstration Program***
(1) Valid codes: Y, N or blank.
(2) If B5B=Y or B13A=6; D9 must be blank.
(3) If B5B=N and B13A is not 6; D9 must =Y or N.
(4) If D9=Y; D1A must =A, B, C or D;
  B12A and/or B12D must be one of the following sets of conditions (included in the SBCDP (Designated Industry Groups (DIGs), Targeted Industry Categories (TICs), or Army dredging).
    a) B12D=15xx, 16xx or 17xx and B12A does not equal Y216 or Z216;
    b) B12D =1629 and B12A =Y216 or Z216;
    c) B12D=4212 or 4953 and B12A=S205;
    d) B12D=7389, 8711, 8712 or 8713 and B12A=C111 thru C216, C219, T002, T004, T008, T009, T014 or R404;
    e) B12D=3731 and B12A=J998 or J999;
    f) B12D=2834, 3483, 3489, 3511, 3724, 3761, 3769, 3795, 3812 or 4899.
(5) If B1B=B or C and B13A=5; D9 must =N.
(6) If D9=Y; B3 must be greater than 19890101.
(7) If B12D=15xx, 16xx or 17xx and D9=Y; position 1 of B12A must be a letter.
(8) If B12A=C111 thru C216, C219, T002, T004, T008, T009, T014 or R404 and B12D=7389, 8711, 8712, or 8713 and B13A is not 9 and D9=Y; C8 must =D.

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D10   1   ***Size of Small Business***

(1) Valid Codes: Blank, or one of the following:

Employees

- A   50 or fewer
- B   51 - 100
- C   101 - 250
- D   251 - 500
- E   501 - 750
- F   751 - 1000
- G   over 1000

Annual Gross Revenues

- M $1 million or less
- N   over $1m - $2m
- P   over $2m - $3.5m
- R   over $3.5m - $5m
- S   over $5m - $10m
- T   over $10m - $17m
- U   over $17 million.

(2) If B5B=Y or B13A=6 or D1A=C or D or D9=N; D10 must be blank.

(3) If D9=Y and D1A=A or B:

  a) If B12D=3731 and B12A=J998 or J999; D10 must =A thru G.

  b) If B12D=2834, 3483, 3489, 3511, 3724, 3761, 3769, 3795 or 3812; D10 must =A thru G.

  c) If (a) or (b) does not apply; D10 must =M thru U.

D11   1   ***Emerging Small Business***

(1) Valid Codes: Y, N or blank.

(2) If B5B=Y or B13A=6 or D9=N; D11 must be blank.

(3) If B12D is one of the TICs of the SBCDP (2834, 3483, 3489, 3511, 3724, 3761, 3769, 3795, 3812 or 4899); D11 must be blank.

(4) If D9=Y and B12A and/or B12D is one of the following sets of conditions (included in the SBCDP (DIGs or Army dredging):

  a) B12D=15xx, 16xx or 17xx and B12A does not equal Y216 or Z216;

  b) B12D=1629 and B12A=Y216 or Z216;

  c) B12D=4212 or 4953 and B12A=S205;

  d) B12D=7389, 8711, 8712 or 8713 and B12A=C111 thru C216, C219, T002, T004, T008, T009, T014 or R404;

  e) B12D=3731 and B12A=J998 or J999;

D1A must =A, B, C or D and

D11 must =Y or N.

(5) If D11 is coded Y; D1A must =A or B.

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PART E

E1   1   *Contingency Operation*
        (1) Valid codes: Y=Yes or blank.
        (2) If E1=Y; B6B must be alphabetic (overseas).
        (3) If E1=Y; absolute value of B8 must be greater than 200,000.

E2   1   *Cost Accounting Standards Clause*
        (1) Valid codes: Y=Yes or blank.

E3   1   (reserved)

E4   4   (reserved)

Note: The following edits are for record positions 343-348.

        2   *Report Month*
        (1) Must be numeric, 01 - 12.
        (2) All records in a data submission must have the same value.

        4   *Reporting Agency Code*
        (1) Must be 2100 for Army Military Functions; 1700 for Navy; 5700 for Air Force; 97AS for
            DLA; 96CE for Army Civil Functions and 9700 for Other Defense Agencies.

Part 3 - Instructions for Automating DD Form 1057

This part contains instructions for the automation of Monthly Procurement Summary DD Form 1057 reports required by DFARS 204.672.6.

Instructions for Preparing Summary Records of DD Form 1057 Actions, DD-A&T(M)1015.

a. General. Summary records, edited as prescribed below will be submitted to cover DD Form 1057 transactions for each month (non-cumulative). Electronic transmission of data will be coordinated with WHS/DIOR.

b. Data Record. Summary records representing total monthly transactions for each DoD Component will be completed as shown below:

Field Description	Record Positions
Function	1-4
Contracting Office Code	5-10
As of date	
Year	11-14
Month	15-16

NOTE: All actions and dollars fields are to be in the following format.
   a. Ten numeric digits
   b. Negative sign (-) or blank as applicable
   c. Ex. 1234567890-
      0000067890-
      0000007890 (11th position blank for positive number)

Field Description	Record Positions	Field Description	Record Positions
B01 Actions	17-27	B05a Actions	413-423
Dollars	28-38	Dollars	424-434
B02 Actions	39-49	B05b Actions	435-445
Dollars	50-60	Dollars	446-456
B02a Actions	61-71	B05c Actions	457-467
Dollars	72-82	Dollars	468-478
B02b Actions	83-93	B05d Actions	479-489
Dollars	94-104	Dollars	490-500
B02c Actions	105-115	B05e Actions	501-511
Dollars	116-126	Dollars	512-522
B03 Actions	127-137	B06 Actions	523-533
Dollars	138-148	Dollars	534-544
B03a Actions	149-159	B06a Actions	545-555
Dollars	160-170	Dollars	556-566
B03b Actions	171-181	B06b Actions	567-577
Dollars	182-192	Dollars	578-588
B03c Actions	193-203	B06c Actions	589-599
Dollars	204-214	Dollars	600-610
B03d Actions	215-225	B06d Actions	611-621
Dollars	226-236	Dollars	622-632
B03e Actions	237-247	B06e Actions	633-643
Dollars	248-258	Dollars	644-654
B04 Actions	259-269	B07 Actions	655-665
Dollars	270-280	Dollars	666-676
B04a Actions	281-291	B07a Actions	677-687
Dollars	292-302	Dollars	688-698
B04b Actions	303-313	B07b Actions	699-709
Dollars	314-324	Dollars	710-720
B04c Actions	325-335	B07c Actions	721-731
Dollars	336-346	Dollars	732-742
B04d Actions	347-357	B07d Actions	743-753
Dollars	358-368	Dollars	754-764
B04e Actions	369-379	B07e Actions	765-775
Dollars	380-390	Dollars	776-786
B05 Actions	391-401	B08 Actions	787-797
Dollars	402-412	Dollars	798-808

Field Description	Record Positions	Field Description	Record Positions
C01 Actions	809-819	E01 Actions	1249-1259
Dollars	820-830	Dollars	1260-1270
C01a Actions	831-841	E01a Actions	1271-1281
Dollars	842-852	Dollars	1282-1292
C01b Actions	853-863	E01b Actions	1293-1303
Dollars	864-874	Dollars	1304-1314
C01c Actions	875-885	E02 Actions	1315-1325
Dollars	886-896	Dollars	1326-1236
C01d Actions	897-907	E02a Actions	1337-1347
Dollars	908-918	Dollars	1348-1358
C02 Actions	919-929	E02b Actions	1359-1369
Dollars	930-940	Dollars	1370-1380
C02a Actions	941-951	E02c Actions	1381-1391
Dollars	952-962	Dollars	1392-1402
C02b Actions	963-973	E02d Actions	1403-1413
Dollars	974-984	Dollars	1414-1424
C02c Actions	985-995	E02e Actions	1425-1435
Dollars	996-1006	Dollars	1436-1446
C02d Actions	1007-1017	E03 Actions	1447-1457
Dollars	1018-1028	Dollars	1458-1468
C03 Actions	1029-1039	E04 Actions	1469-1479
Dollars	1040-1050	Dollars	1480-1490
C03a Actions	1051-1061	E05 Actions	1491-1501
Dollars	1062-1072	Dollars	1502-1512
C03b Actions	1073-1083	E06 Actions	1513-1523
Dollars	1084-1094	Dollars	1524-1534
C03c Actions	1095-1105	E07 Actions	1535-1545
Dollars	1106-1116	Dollars	1546-1556
C03d Actions	1117-1127	E08 Actions	1557-1567
Dollars	1128-1138	Dollars	1568-1578
D01 Actions	1139-1149	E09 Actions	1579-1589
Dollars	1150-1160	Dollars	1590-1600
D02 Actions	1161-1171	E09a Actions	1601-1611
Dollars	1172-1182	Dollars	1612-1622
D03 Actions	1183-1193	E09b Actions	1623-1633
Dollars	1194-1204	Dollars	1634-1644
D04 Actions	1205-1215	E09c Actions	1645-1655
Dollars	1216-1226	Dollars	1656-1666
D05 Actions	1227-1237	E09d Actions	1667-1677
Dollars	1238-1248	Dollars	1678-1688

Field Description		Record Positions
F01	Actions	1689-1699
	Dollars	1700-1710
F02	Actions	1711-1721
	Dollars	1722-1732
F03	Actions	1733-1743
	Dollars	1744-1754
G01	Actions	1755-1765
	Dollars	1766-1776
G01a	Actions	1777-1787
	Dollars	1788-1798
G01b	Actions	1799-1809
	Dollars	1810-1820
G01c	Actions	1821-1831
	Dollars	1832-1842

This page is reserved for DD Form 1057

Instructions for the Editing of DD Form 1057 actions.

a. Component Summary Record Edit.

Field Description	Record Position	Edit Criteria
Function	1-4	Must be 2100 for Army Military Functions; 1700 for Navy; 5700 for Air Force; 97AS for DLA; 96CE for Army Civil Functions, 97AK for DISA, 97AB for NIMA, 97JC for BMDO, 97F1 for AFR&TS, 97HW for USUHS, 97F3 for TSO, 97ZS for USSOC, 97F5 for WHS, 9700 for VCA, 9700 for DMEA, 97AE for DARPA, 97AW for OSIA, 97AZ for DeCA, 9700 for AFRRI, 97F2 for DoDDS and 97BZ for DFAS.
Contracting Office Code	5-10	(1) Must be blank for summary data provided to WHS/DIOR. (2) Must be a valid contracting office code for data within component reporting systems or if function = "9700."
As of date		
Year	11-14	Must be four digit calendar year.
Month	15-16	Must be numeric 01 thru 12 for January thru December.

All ACTION and DOLLAR fields are numeric fields, right-justified with leading zeros. Negative numbers are keyed by putting a sign over-punch on the right-most digit of the field (i.e. COBOL equivalent PIC S9(11).). Positive numbers do not have a sign over-punch on the right-most digit of the field.

AVERAGE DOLLAR VALUE (Dollar Value divided by Number of Actions) must not be greater than $25,000, except as noted below.

Contractual actions in support of an active declared contingency as defined in DFARS 213.000 and 213.001 may cause the average dollar value to exceed $25,000 on lines B05, B05a, B07, B07a, C01, C01c, C02, C02c, C03, C03c, G01, G01a, G01b or G01c.

b. <u>Section Edits</u>. Number of actions and dollar values.

<u>Section B</u>

Line B02 must equal lines B02a + B02b + B02c
Line B03 must equal lines B03a + B03b + B03c + B03d + B03e
Line B04 must equal lines B04a + B04b + B04c + B04d + B04e
Line B05 must equal lines B05a + B05b + B05c + B05d + B05e
Line B06 must equal lines B06a + B06b + B06c + B06d + B06e
Line B07 must equal lines B01 + B02 + B03 + B04 + B05 + B06
Line B07a must equal lines B03a + B04a + B05a + B06a
Line B07b must equal lines B03b + B04b + B05b + B06b
Line B07c must equal lines B03c + B04c + B05c + B06c
Line B07d must equal lines B03d + B04d + B05d + B06d
Line B07e must equal lines B03e + B04e + B05e + B06e
Line B08 must be less than or equal to B07 minus B07a.

<u>Section C</u>

Line C01 must equal lines C01a + C01b + C01c + C01d
Line C02 must equal lines C02a + C02b + C02c + C02d
Line C03 must equal lines C03a + C03b + C03c + C03d

<u>Section E</u>

Line E01 must equal E01a + E01b.
Line E02 must equal E02a + E02b + E02c + E02d + E02e.
Line E09 must equal E09a + E09b + E09c + E09d.

<u>Section G</u>

Line G01 must equal lines G01a + G01b + G01c

c. <u>Relationship Between Sections Edits</u>.

Lines B03b + B03c must be less than or equal to C01a.
Lines B04b + B04c must be less than or equal to C01b.
Lines B05b + B05c must be less than or equal to C01c.
Lines B06b + B06c must be less than or equal to C01d.
Lines D04 + D05 must be less than or equal to B06.
Lines C01 + C02 + C03 + B01 + B02 must equal B07.
Lines C01a + C02a + C03a must equal B03.
Lines C01b + C02b + C03b must equal B04.
Lines C01c + C02c + C03c must equal B05.
Lines C01d + C02d + C03d must equal B06.
Line D01 must be less than or equal to B03.
Line D02 must be less than or equal to B04.
Line D03 must be less than or equal to B05.
Line D04 must be less than or equal to E04.
Line D05 must be less than or equal to B06 minus E04.
Line E01 must be less than or equal to B03.
Line E02 must be less than or equal to B03.
Line E03 must be less than or equal to B03b+B03c.
Line E04 must be less than or equal to B03.
Line E05 must be less than or equal to B03b+B03c.
Line E06 must be less than or equal to B06.
Line E07 must be less than or equal to B06.
Line E08 must be less than or equal to B07.
Line E09 must be less than or equal to B03.
If G01=ZERO; lines F01 + F02 + F03 must be equal to B07a.
Line G01 must be less than or equal to B05a.
Line G01 must be less than or equal to B07a.
Line G01a must be less than or equal to C01c.
Line G01b must be less than or equal to C02c.
Line G01c must be less than or equal to C03c.